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# The suppression and recovery of martensitic transformation in a Ni–Co–Mn–In magnetic shape memory alloy

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# A B S T R A C T

The intrinsic mechanism of the martensitic transformation (MT) suppression observed in Ni–Co–Mn–In alloys fabricated under non-equilibrium conditions still remains mysterious. Here, we used the undercooling technique to obtain a solidified microstructure in non-equilibrium state, subsequently leading to MT suppression even further cooling to 10K. It was found that primary dendrite-like In-depleted precipitates occurred during solidification under a large undercooling. After a prolonged annealing, the MT interestingly appeared again due to the dissolution of the precipitates and the recovery of equilibrium chemical composition in the matrix.

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ALLOYS<br>AND COMPOUNDS

### **1. Introduction**

Magnetic shape memory alloys (MSMAs) are regarded as the most promising materials for actuators and dampers based on magnetic-field-induced strain (MFIS) through magnetically induced reorientation (MIR) of martensitic variants [\[1,2\]](#page-3-0) or magnetically induced phase transformation (MIPT) [\[3,4\].](#page-3-0) For the latter mechanism, Ni–Co–Mn–In alloys are particularly attractive since a structural transition from weak magnetic martensite to ferromagnetic austenite can be induced by the application of a magnetic field of several Tesla around room temperature. The interest in the Ni–Co–Mn–In alloys is not only due to their unusual MFIS, but also related to their magnetocaloric effect (MCE) including conventional and inverse cases [\[5\].](#page-3-0) Recently a large inverse MCE in  $Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>37.5</sub>In<sub>12.5</sub> single crystal above 300 K was reported, which$ is promising for magnetic refrigeration applications [\[6\].](#page-3-0) However, large hysteresis losses  $(H_h > 2T)$  originating from the first-order transition lower the magnetic refrigeration efficiency. Karaca et al. suggested that the hysteresis might be reduced by improving the lattice compatibility between martensite and austenite by introduction of coherent precipitates [\[7\].](#page-3-0) The rapid solidification technique based on a large undercooling has been used for fabrication of various functional magnetic materials with

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homogeneous chemical composition and a grain-refined microstructure [\[8,9\].](#page-3-0) It is known that the degree of undercooling  $\Delta T$  (defined as  $\Delta T = T_M - T_N$ , where  $T_M$  and  $T_N$  stand for the melting and nucleation temperatures, respectively) has a significant influence on the microstructure, crystal structure and thermal properties such as transformation temperature for shape memory alloys [\[10\].](#page-3-0) Here, the morphology and magnetic and thermal properties of  $Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>36.7</sub>In<sub>13.3</sub>$  alloys solidified at different undercoolings of 5K and 69K followed by respective annealing for 10h and 72h were systematically studied. It is shown that large undercooling (i.e.  $\Delta T = 69$  K) leads to martensitic transformation suppression due to formation of a novel microstructure showing dendrite-like precipitates in the matrix. After a prolonged annealing, the precipitates vanish and the transformation is observed again. This study establishes the relationships among non-equilibrium solidification with different undercoolings, martensitic transformation and magnetic behaviors, which provides new insights into fundamental phenomena occurring during fabrication of novel magnetic shape memory alloys.

#### **2. Experimental**

Ni–Co–Mn–In ingots with nominal composition  $Ni_{45}Co_{5}Mn_{36.7}In_{13.3}$  (at.%) were prepared by arc-melting of pure metals in an argon atmosphere for 5 times. Then lumps of the ingots were placed on borosilicate glass powder and remelted in a highfrequency induction heating apparatus under the protection of argon atmosphere of high purity so as to denucleate the alloy by reaction, adsorption, and passivation of catalytic sites. Each sample was melted, superheated and solidified several

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**Fig. 1.**  $M(T)$  curves and DSC curves for Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>36.7</sub>In<sub>13.3</sub> alloys.  $M(T)$  curves under a constant magnetic field of 5 T in (a), (b) and (d), and 0.01 T in (c) for Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>36.7</sub>In<sub>13.3</sub> alloys prepared with different undercoolings and annealed for different time. The insets show the DSC curves (heating and cooling cycles).

times in order to obtain large undercooling. After the high-frequency power source was turned off, the alloy sample was cooled spontaneously. The temperature of the samples was measured by a single-color pyrometer with a relative accuracy and a response time of  $\pm$ 5K and 10 ms, respectively. Two different undercoolings ( $\Delta T$ =5K,  $\Delta T$ =69K) were attained. Then the two alloys were annealed at 1123K for 10 h and 72 h. The phase transformation behaviors of the alloys were studied by differential scanning calorimetry (DSC) in the temperature range of 223-486K, with a heating and cooling rate of 10K/min. The magnetization versus temperature (i.e.,  $M(T)$ ) curves of the alloys were measured using a vibrating sample magnetometer (VSM) in a physical property measurement system (PPMS). The microstructure and morphology of the alloys were examined by optical microscopy and scanning electron microscopy (SEM). The composition distribution and elemental analysis were determined by synchrotron radiation X-ray fluorescence (SRXRF) with a spatial resolution of less than 1  $\upmu$ m. The SRXRF is the emission of characteristic "secondary" (or fluorescent) X-ray from a material that has been excited by bombarding with synchrotron radiation high-energy X-rays.

# **3. Results and discussion**

Fig. 1 shows the  $M(T)$  curves of the Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>36.7</sub>In<sub>13.3</sub> alloys prepared with undercoolings of 5K and 69K, and annealed for different time. DSC curves of these alloys are displayed in the insets of Fig. 1. The alloys with  $\Delta T$ =5K,  $t_{\rm ann}$ =10h and  $\Delta T$ =5K,  $t_{\text{ann}}$  = 72 h show obvious martensitic transformation (as an example, the transformation temperatures  $M_s$ ,  $M_f$ ,  $A_s$  and  $A_f$  of the alloy with  $\Delta T$ =5K,  $t_{\rm ann}$ =10h, under a magnetic field of 5T, are shown in Fig. 1(a)). However, no martensitic transformation was observed until in the alloy with  $\Delta T$ =69K,  $t_{\rm ann}$ =10h (see the DSC and M(T) curves in Fig.  $1(c)$ ). However, after prolonged annealing, martensitic transformation appears again in the alloy with  $\Delta T$ = 69 K,  $t_{\rm ann}$  = 72 h (see Fig. 1(d)). The different phase transformation behaviors of the alloys prepared with different undercoolings indicate that undercooling has a critical influence on the transformation behavior of the alloys. The other interesting feature is the different magnetic transition behaviors of these alloys, as discussed below. In Fig. 1(c), the abrupt change of the magnetization at ∼330K corresponds to the Curie transition of austenite at its Curie temperature  $T_c$  in the alloy with  $\Delta T$ = 69 K,  $t_{\rm ann}$  = 10 h, which is in general agreement with the Curie transition indicated by the inflection on the DSC curve between 325K and 350K (see inset of Fig. 1(c)). It should be noted that the discrepancy between the heating and cooling branches of the  $M(T)$  curve in Fig. 1(c) is artificial due to the temperature control of the system. The DSC curves in the insets of Fig. 1 show that  $T_c$  of the alloys with  $\Delta T$ =5K,  $t_{\rm ann}$ =10h and  $\Delta T$ =5K,  $t_{\rm ann}$ =72h is almost the same (between 400 K and 425 K), but that the  $T_c$  of the alloy with  $\Delta T$ =69 K,  $t_{\rm ann}$  = 10 h is much lower (between 325 K and 350 K). After prolonged annealing,  $T_c$  of the alloy with  $\Delta T$ =69 K,  $t_{\text{ann}}$  = 72 h increases and becomes almost the same as that of the alloys with  $\Delta T$ = 5 K,  $t_{\rm ann}$  = 10 h and  $\Delta T$ = 5 K,  $t_{\rm ann}$  = 72 h.

In order to reveal the reason for the anomalous transformation behaviors (i.e. the suppression of the martensitic transformation and the lowering of the Curie temperature) in the alloy with  $\Delta T$ =69K,  $t_{\text{ann}}$ =10h, microscopic study of the alloys was conducted. It was found that at room temperature the alloys with  $\Delta T$  = 5 K,  $t_{\rm ann}$  = 10 h and  $\Delta T$  = 5 K,  $t_{\rm ann}$  = 72 h has a typical stripelike martensitic microstructure [\(Fig.](#page-2-0) 2(a) and (b)). In contrast, the alloy with  $\Delta T$ =69K,  $t_{\rm ann}$ =10h exhibits a novel morphology consisting of dendrite-like precipitates dispersed in the matrix [\(Fig.](#page-2-0) 2(c)). A similar morphology was also observed in the as-cast alloy. Therefore, it can be concluded that the novel morphology forms during non-equilibrium solidification rather than during annealing. However, after prolonged annealing, the precipitates disappear and a stripe-like martensitic microstructure appears again in the alloy with  $\Delta T = 69$  K,  $t_{\text{ann}} = 72$  h [\(Fig.](#page-2-0) 2(d)). This indicates that during the prolonged annealing the precipitates forming under non-equilibrium solidification conditions are dissolved into the matrix at elevated temperature, resulting in a homogenous single phase that transforms into martensite at lowered temperature during cooling, which are preserved down to room temperature. During non-equilibrium solidification at the larger undercooling of

<span id="page-2-0"></span>

# Increasing annealing time

**Fig. 2.** Microstructure of the alloys prepared with an undercooling of 5K and annealed for 10 h (a) and 72 h (b) and with an undercooling of 69K and annealed for 10 h (c) and 72 h (d), respectively. The grain boundary is depicted by the white dashed line [within the zone surrounded by orange dashed line in (a)]. The precipitates dispersed in the matrix (dashed ellipse) are illustrated in (c). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

69K, the dendrite-like precipitates form as primary phase followed by the formation of austenite. Subsequently, the chemical composition of austenite is greatly altered off the equilibrium state. The SEM backscattered electron image on the morphology of the dendrite-like precipitates in the matrix is shown in Fig. 3(a). The composition mapping of Ni, Mn, Co, and In elements by SRXRF is shown in Fig. 3(b). The intensity of characteristic fluorescent X-rays is proportional to the concentration of the elements. The SRXRF



**Fig. 3.** SEM backscattered electron image of the alloy prepared with an undercooling of 69K and annealed for 10 h in (a) as well as distributions of the Ni, Mn, Co and In elements determined by SRXRF (b).

<span id="page-3-0"></span>maps provide the accurate information on the distribution of the elements so that the contours of different phases can be readily recognized. Here, the maps show clearly that the precipitates in the alloy with  $\Delta T$ =69K,  $t_{\rm ann}$ =10h are dendrite-like and depleted in Indium compared to the matrix around. Thus, the matrix is rich in Indium, which leads to the decrease in the value of  $e/a$ . According to the e/a dependence of Ms temperature found in Ni–Mn–In alloys [11], the decrease in  $e/a$  finally should result in the suppression of martensitic transformation in the Co-doped Ni–Mn–In alloy. The annealing at high temperature for sufficient time leads to recovery ofthe equilibrium state again. That explains why martensitic transformation is suppressed in the alloy with  $\Delta T$ = 69 K,  $t_{\rm ann}$  = 10 h but it appears again in the alloy with  $\Delta T$ = 69 K,  $t_{\rm ann}$  = 72 h. Furthermore, it is reasonable to suppose that the non-equilibrium solidification and the final microstructure also account for the temperature decrease in  $T_{\rm c}$  in the alloy with  $\Delta T$ = 69 K,  $t_{\rm ann}$  = 10 h compared to that in other alloys.

The preliminary indexing of electron backscatter diffraction (EBSD) suggests that the precipitates are tetragonal structure, whereas the matrix is cubic with a Heusler-type structure. The details of the crystal structure such as accurate lattice parameters are still worth of further study. Interestingly, martensite variants seem to crossover the grain boundaries as highlighted with ellipse circle in [Fig.](#page-2-0) 2(a). Generally, this phenomenon is usually found in the samples consisting mainly of low-angle grain boundaries [12].

#### **4. Conclusion**

To summarize, the suppression and recovery of martensitic transformation in the  $Ni<sub>45</sub>Co<sub>5</sub>Mn<sub>36.7</sub>In<sub>13.3</sub>$  alloy with a large undercooling (  $\Delta T$  = 69 K) was studied. The non-equilibrium solidification prepared with a large undercooling ( $\Delta T$ =69 K) leads to the formation of a novel microstructure consisting of primary dendrite-like precipitates, which are depleted in In-element in the matrix of a Heusler phase. Consequently, martensitic transformation is suppressed, and the Curie temperature is lowered. Prolonged annealing induces dissolution of the precipitates, allowing the microstructure to recover its equilibrium state and martensitic transformation to resume.

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